

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JCC858 U.S. PTO
10/015049
11/12/01

Applicant: Thomas (NMN) Miebach et al. : Group Art Unit:
Serial No.: : Examiner:
Filed: : Date: 10/16/2001
For FLAME RETARDANT RESINOUS
COMPOSITIONS AND METHOD :

*#12
12-18-02*

INFORMATION DISCLOSURE STATEMENT

1 **Pursuant to 37CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*

2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*

3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*

4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit _____
Type or Print Name _____
Signature _____

This paper is submitted in accordance with:

- 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
 - 8 The required Certification made in item 11 below; or
 - 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- 10 37 CFR 1.97(d): [on or before issue fee payment]; and
 - a) The required Certification is stated in item 11 below; and
 - b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

11 Certification

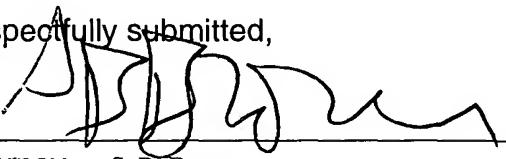
- 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

14 Payment of all applicable fees:

- 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

An original and two (2) copies of this document are enclosed.

Respectfully submitted,



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October 16, 2001

Date

General Electric Company
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1 - Salamone
Schenectady, New York 12301
Customer Number: 006147

FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. RD-29,460	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u>		Applicant Thomas (NMN) Miebach et al.	
		Filing Date	Group

U.S. PTO
10/01/5049
11/12/01

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A1	3,544,514	12/1/70	Schnell et al.			
A2	3,635,895	1/18/72	Kramer			
A3	3,671,487	6/20/72	Abolins			
A4	3,723,373	3/27/73	Lucas			
A5	4,001,184	1/4/77	Scott			
A6	4,217,438	8/12/80	Brunelle et al.			
A7	4,579,906	4/1/86	Zabrocki et al.			
A8	5,521,230	5/28/96	Bhatia et al.			
A9	5,530,083	6/25/96	Phelps et al.			
A10	5,616,674	4/1/97	Michel et al.			
A11	5,693,700	12/2/97	Venkataramani et al.			
A12	5,714,550	2/3/98	Shaw			
A13	5,804,654	9/8/98	Lo et al.			
A14	6,072,011	6/6/00	Hoover			
A15	6,242,520	6/5/01	Venkataramani et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
B1		0 386 511	9/12/90	European			
B2		EP 1 126 007	8/22/01	European			
B3							
B4							
B5							

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)

	C1	
	C2	
	C3	
	C4	

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant	